



**CITY OF LINCOLN**  
NEBRASKA

Lincoln Police Department  
Thomas K. Casady, Chief of Police  
575 South 10th Street  
Lincoln, Nebraska 68508

402-441-7204  
fax: 402-441-8492

**LINCOLN**  
The Community of Opportunity

MAYOR COLEEN J. SENG

[www.ci.lincoln.ne.us](http://www.ci.lincoln.ne.us)

February 10, 2004

Mayor Seng and City Council  
City of Lincoln  
City County Building  
Lincoln, NE

Mayor Seng and Members of the City Council:

An investigation has been made regarding the application of Peking Palace, 8341 'O' Street requesting a class J liquor license.

This location was known as Knickers, which held a class I liquor license.

Nghi Trieu, President has requested that she be approved as the manager of the liquor license.

Background information on the applicant is as follows:

Nghi Trieu was born in Saigon, Vietnam. She moved to Grand Island, Nebraska in 1994.

Mrs. Trieu has held a class J liquor license in Grand Island, Nebraska since 1994. This license has only one liquor violation on file with the Nebraska Liquor Control Commission.

Stockholder information is included for your review.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

THOMAS K. CASADY, Chief of Police



A nationally accredited law enforcement agency



# STATE OF NEBRASKA

Set date: 3-1-04

PH: 3-15-04



## NEBRASKA LIQUOR CONTROL COMMISSION

**Hobert B. Rupe**  
Executive Director

301 Centennial Mall South, 5th Floor  
P.O. Box 95046

Lincoln, Nebraska 68509-5046

Phone (402) 471-2571

Fax (402) 471-2814

TRS USER 800 833-7352 (TTY)

web address: <http://www.nol.org/home/NLCC/>

**Mike Johanns**, February 6, 2004  
Governor

City Clerk  
County/City Bldg  
555 South 10<sup>th</sup> Street  
Lincoln NE 68508

*Peking Palace, 8341 O St.  
dba Peking Palace  
Class J*

Dear Local Governing Body:

Attached is the form to be used on all retail liquor license applications. Local clerks must collect proper license fees and occupation tax per ordinance, if any, before delivering the license at time of issuance.

TWO KEY TIME FRAMES TO KEEP IN MIND ARE:

- 1) You have 45 days to conduct a hearing after the date of receipt of the notice from this Commission (§53-134). You may choose **NOT** to make a recommendation of approval or denial to our Commission.

PER §53-133, THE LIQUOR CONTROL COMMISSION SHALL SET FOR HEARING ANY APPLICATION WHEREIN:

- 1) There is a recommendation of denial from the local governing body.
- 2) A citizens protest; or
- 3) Statutory problems that the Commission discovers.

*A-1-015308  
208*

PLEASE NOTE...A LICENSEE MUST BE "PROPERLY" LICENSED IN ORDER TO PURCHASE FROM WHOLESALERS; AND, A LICENSE IS EFFECTIVE:

- 1) Upon payment of the license fees;
- 2) Physical possession of the license;
- 3) Effective date on the license.

Sincerely,

NEBRASKA LIQUOR CONTROL COMMISSION

Michelle Porter  
Licensing Division

Enclosures

**Rhonda R. Flower**  
Commissioner

**Bob Logsdon**  
Chairman

**R.L. (Dick) Coyne**  
Commissioner

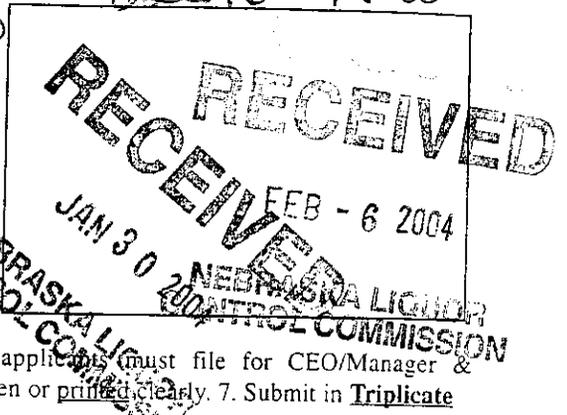
An Equal Opportunity/Affirmative Action Employer

**APPLICATION FOR LICENSE**

Nebraska Liquor Control Commission  
 PO Box 95046, 301 Centennial Mall South  
 Lincoln, NE 68509-5046

<http://www.nol.org/home/NLCC>  
 Phone: (402) 471-2571  
 Fax: (402) 471-2814

LCC 01 102316 INW



**INSTRUCTIONS:** Include: 1. Applicable fees payable to Liquor Control Commission  
 2. Copy of birth certificate or naturalization papers proving U.S. citizenship for each individual and spouse named on application (not required of corporations or spouse)  
 3. Corporations must file an affidavit of no interest with application, Commission form 4178  
 4. Corporations must include copy of articles of incorporation as filed with the Secretary of States office in the state of Nebraska  
 5. Commission checklist, form 4251  
 6. Fingerprint cards and processing fees (are required of individuals, all partners and spouses. Corporate applications must file for CEO/Manager & stockholders/member holding over 25% stock/interest.  
 7. All applications must be typewritten or printed clearly.  
 8. Submit in **Triplicate**

**CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND LIST OF FEES FOR EACH**

Class of License (Check applicable class)	Registration Fee	License Fees	Corporate Surety Bond
<input type="checkbox"/> A Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> F Beer, On Sale Only - Outside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> B Beer, Off Sale Only - Indicate Inside or Outside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input checked="" type="checkbox"/> J Wine, Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> I Spirits, Wine, Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> D Spirits, Wine, Beer, Off Sale Only - Inside Corporate Limits	\$45.00	\$150.00	exempt
<input type="checkbox"/> DI Spirits, Wine, Beer, Off Sale only - within extraterritorial zoning jurisdiction	\$45.00	\$150.00	exempt
<input type="checkbox"/> C Spirits, Wine, Beer On & Off Sale - Inside Corporate Limits	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> M Bottle Club (Spirits, Wine, Beer, on Sale)	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> H Nonprofit Corporation	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> K Wine Only, Off Sale	\$45.00	Collected at Local Level	exempt
<input type="checkbox"/> O Boat	\$45.00	\$ 50.00	exempt
<input type="checkbox"/> V Manufacturer of Beer, Wine & Distilled Spirits	\$45.00	Varies \$100 to \$1,000	\$10,000 min.
<input type="checkbox"/> X Wholesale Liquor	\$45.00	\$500.00	\$ 5,000 min.
<input type="checkbox"/> W Wholesale Beer	\$45.00	\$250.00	\$ 5,000 min.
<input type="checkbox"/> Y Farm Winery	\$45.00	\$250.00	\$ 1,000 min.
<input type="checkbox"/> L Craft Brewery (Brew Pub)	\$45.00	\$250.00	\$ 1,000 min.

**TYPE OF APPLICATION**

Type of application being applied for (place appropriate number in box)

1= Individual License requires Form 1 to be attached.  
 2= Partnership License requires Form 2 to be attached.  
 3= Corporate License requires Form 3 and 4 and Manager Application be attached.

**CORPORATE SURETY BOND INFORMATION**

Bond Company - for Classes L V W X Y only

Start Date Month/Day/Year

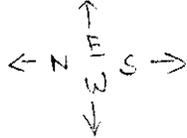
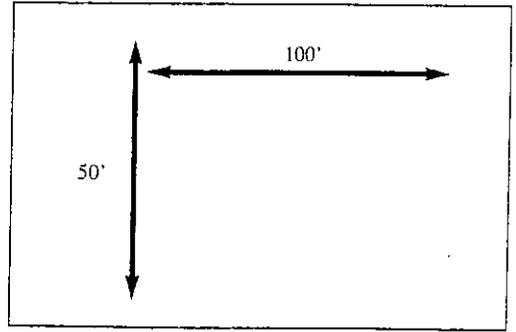
Bond Number

**SECTION A - LOCATION INFORMATION - Must be completed by all applicants**

Trade Name (name of business) <b>Peking Palace</b>	Telephone Number at premise to be licensed <b>402-488-8383</b>
1) Street Address of Proposed licensed premise <b>8341 "O" St.</b>	2) Mailing Address for receipt of Liquor Control Commission mailings <b>3421 West State St.</b>
Is this located inside the city limits Circle <b>YES/NO</b>	
City County Zip Code <b>Lincoln, Lancaster 68510</b>	City County Zip Code <b>Grand Island Hall 68803</b>

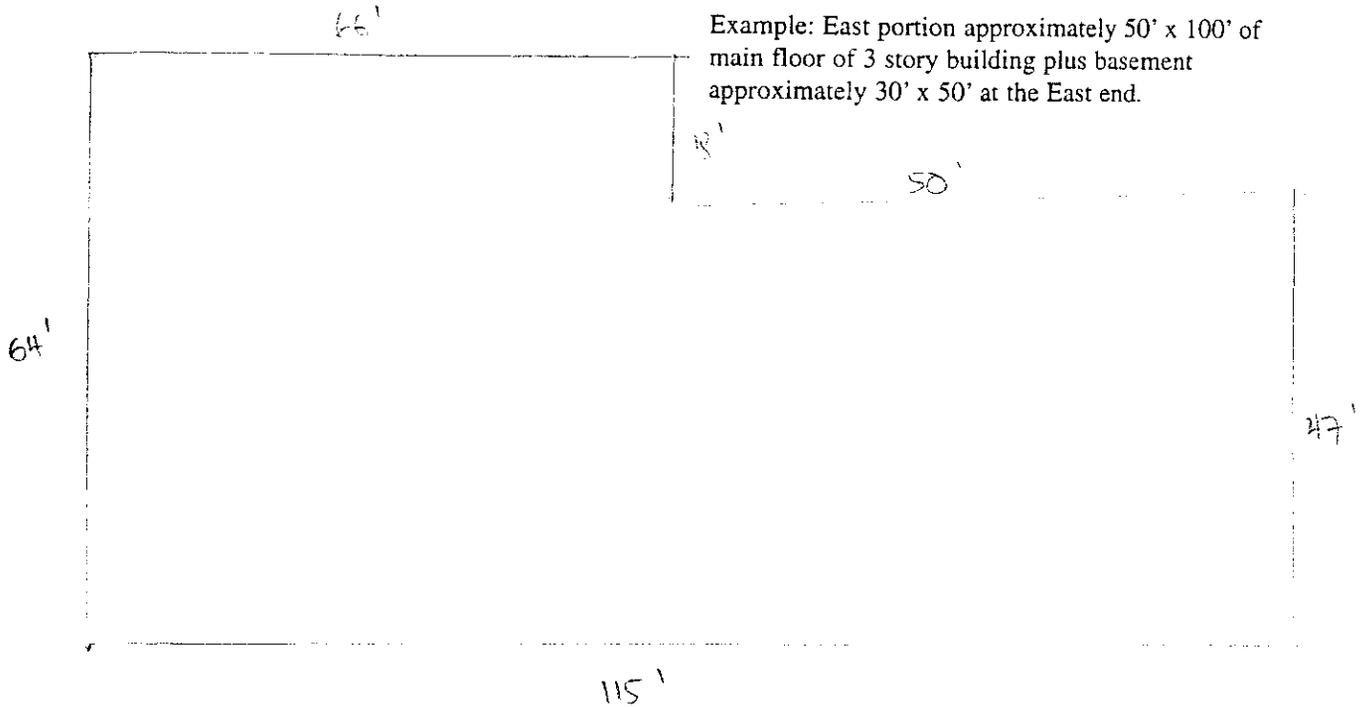
**DESCRIPTION AND DIAGRAM OF THE STRUCTURE TO BE LICENSED**

In the space provided draw the area to be licensed. This should include storage areas, basement, sales areas and areas where consumption or sales of alcohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building in situations where only a portion of the entire bldg. is to be covered by the license. No blue prints will be accepted. Be sure to indicate the direction North and number of floors of the building.



MAIN level only  
(NO basement)

Example: East portion approximately 50' x 100' of main floor of 3 story building plus basement approximately 30' x 50' at the East end.



**SECTION B**

**OTHER INFORMATION REQUIRED**

	Yes	No	Explanation/Comments
<p>1. <b>READ CAREFULLY.</b> Answer completely and accurately.</p> <p>Has anyone who is a party to this application, or their spouse, <u>ever</u> been convicted of or plead guilty to any criminal charge. Criminal charge means any charge alleging a felony or misdemeanor or violation of a federal or state law; or a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.</p>		X	

	Yes	No	Explanation/Comments
2. Are you buying the business and/or assets of a licensee? If yes, submit a copy of the sales agreement with a listing of assets being acquired including liquor inventory (name brand and container size required).		X	
3. Are you filing a temporary agency agreement, Commission form 4231, whereby current licensee allows you to operate on their license? If yes, attach copy.		X	
4. Are you borrowing any money from any source to establish and/or operate the business? If yes, list the lender.		X	
5. Will any person or entity other than licensee be entitled to a share of the profits of the establishment? If yes, explain.		X	
6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others? If yes, list such items and the owner.		X	
7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business? If yes, explain?		X	
8. Are the premises to be licensed within 150 ft. of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, children, or within 300 ft. of a college or university campus? If yes, list the name of such institution and where it is located in relation to the premises. Per Sec. §53-177.		X	
9. Is anyone listed on this application a law enforcement officer? If yes, list the person, the law enforcement agency involved and the persons exact duties.		X	
10. List the primary bank and/or financial institution (branch if applicable) to be utilized by the business and the person(s) who will be authorized to write checks and/or make withdrawals on accounts at such institutions.			Union Bank Trung Luu, Nghi Trieu and Ming Zhou
11. List all past and present liquor licenses held by any person named in this application. Include license holder name, location of license and license number. Also list reasons for termination of any licenses previously held.			Nghi Trieu 3421 W. State St. Grand Island, NE 68803 J28125
12. List the person who will be the on site supervisor of the business and the estimated number of hours per week such person or manager will be on the premises supervising operations.			Nghi Trieu 40 hours minimum
13. List the training and experience of the person listed in #12 above in connection with selling and/or serving alcohol products.			10 years experience as holder of liquor license
14. If the property for which this license is sought is owned, submit a copy of the deed, or proof of ownership, if leased submit a copy of the lease covering the entire license year. (Documents must show title or lease held in name of applicant as owner or lessee in the individual(s) or corporate name for which the application is being filed).			See attached lease
15. When do you intend to open for business?			March 2004

16. List the principal residence for the past 10 years for all persons required to sign application. If necessary attach a separate sheet.

NAME	FROM (YEAR)	TO (YEAR)	RESIDENCE (CITY, STATE)
Trung Luu	1994	1999	San Diego CA
	1999	Present	Grand Island, NE
Nghi Trieu	1993	1994	San Diego, CA
	1994	Present	Grand Island NE
Ming Zhou	1993	1994	San Diego CA
	1994	Present	Grand Island NE

The undersigned applicant(s) hereby consent(s) to a background investigation and release of present & future records of every kind and description including police records, tax records (State and Federal), bank or lending institution records, and said applicant(s) and spouses(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information. Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation or any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete and/or inaccurate.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules, regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public. Must be signed by applicant and spouse; if a partnership, all partners and spouses must sign and corporation, all stockholders/members (holding more than 25% of the stock or interest), officers, directors and spouses must sign. Full names only, initials not acceptable.

sign here \_\_\_\_\_  
 sign here \_\_\_\_\_  
 sign here \_\_\_\_\_  
 sign here \_\_\_\_\_

sign here \_\_\_\_\_  
 sign here \_\_\_\_\_  
 sign here \_\_\_\_\_  
 sign here \_\_\_\_\_

**RECEIVED**

JAN 30 2004

**NEBRASKA LIQUOR CONTROL COMMISSION**

Subscribed in my presence and sworn to before me this 29 day of January, 2004

(SEAL)

GENERAL NOTARY-State of Nebraska  
 CHRISTOPHER P. HOFFSCHNEIDER  
 My Comm. Exp. Nov. 18, 2008

sign here \_\_\_\_\_  
 Christopher P. Hoffschneider  
 Notary Public Signature

In compliance with ADA, this application for license form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.

James I. Shamberg  
John A. Wolf  
John B. McDermott  
Ronald S. Depue

SHAMBERG, WOLF,  
McDERMOTT & DEPUÉ

Since 1885  
ATTORNEYS AT LAW

308 North Locust Street, Suite 201  
P.O. Box 460  
Grand Island, NE 68802-0460  
308/384-1635  
Fax 308/384-1759

February 4, 2004

RECEIVED

FEB - 6 2004

Nebraska Liquor Control Commission  
PO Box 95046  
Lincoln, NE 68509-5046

NEBRASKA LIQUOR  
CONTROL COMMISSION

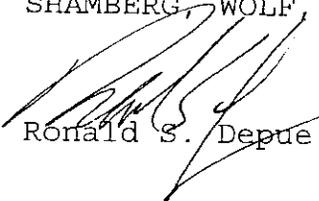
Re: Peking Palace Lincoln, Inc.  
Liquor License Application

Gentlemen:

Please be advised that I am attorney for Peking Palace Lincoln, Inc., and Ming Zhou, Nghi Trieu and Trung Luu. It is my understanding that there is a question as to whether my individual clients or Peking Palace Lincoln, Inc., purchased the business of the property owner, prior tenant or license holder. Please note that the only agreement is the enclosed contract for the purchase and sale of property. As per the enclosed agreement, my clients did not purchase the business or liquor inventory or any part thereof from the property owner or prior tenant/license holder. If you need any additional information concerning this matter, please contact me at your earliest convenience. Thank you for your assistance.

Sincerely yours,

SHAMBERG, WOLF, McDERMOTT & DEPUE

  
Ronald S. Depue

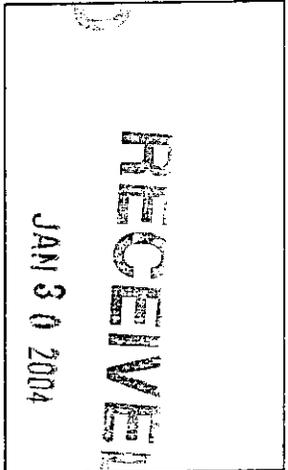
RSD/gs  
Enclosure  
cc: Trung Luu

# Corporation/LIC Application for License - Form 3

Nebraska Liquor Control Commission

**INSTRUCTIONS:**

- 1) Application and application for manager must be typewritten and submitted in triplicate
- 2) Fingerprint cards (2 cards per person) must be submitted for: a) each stockholder owning over 25% of the stock, b) chief executive officer, c) proposed manager and d) all spouses
- 3) Information regarding spouses must be completed



FEB 0 2004

NEBRASKA LIQUOR CONTROL COMMISSION

Name of Corporation That Will Hold License: <b>Attach copy of Articles of Incorporation</b>		Peking Palace Lincoln Inc.		Number of Shares (if corporation)		3000	
Corporate Street Address (1)		3421 West State Street		Mailing address for receipt of Liquor Control Commission Mailings		3421 West State Street	
City	Grand Island	County	Hall	State	NE	Zip Code	68803
Name of Registered Agent		Trung Luu		Name of Proposed Manager		Nghi Trieu	
<b>IN THIS SECTION LIST THE NAME OF THE CHIEF EXECUTIVE OFFICER</b>							
Name	Nghi Trieu		Title	President		Date of Birth	Social Security Number
Home Address (1)		3204 W. 18th Street		State		NE	
City	Grand Island		State	NE	Zip Code	68803	
				Home Telephone Number	308-381-2324		

Corporation/L.L.C. Application for License - Form 3

PRINCIPLE OFFICERS, DIRECTORS, STOCKHOLDERS, MEMBERS AND SPOUSES

Name of Officers, Directors, Members and Spouses. Give Last Name, First Name, Middle, Maiden, and any aliases		Social Security Number	Date of Birth	Title	Number of Shares/%
NAME	Trieu, Nghi			President	33 1/3
Spouse Name	Zhou, Ming			Vice-President	33 1/3
NAME	Zhou, Ming			Vice-President	33 1/3
Spouse Name	Trieu, Nghi			President	33 1/3
NAME	Luu, Trung			Sec./Treas.	33 1/3
Spouse Name	N/A				
NAME					
Spouse Name					
NAME					
Spouse Name					
NAME					
Spouse Name					

(If Necessary, Continue on Separate Sheet)

Corporation/LLC Application for License - Form 3  
Nebraska Liquor Control Commission

Is this Corporation/LLC controlled by another Corporation?  YES  NO

Name of Control Corporation

IF YES, LIST EACH STOCKHOLDER/MEMBER OWNING MORE THAN 25% stock/interest in that corporation/LLC. Any applicant who has a Corporation as a shareholder MUST file an organizational chart listing all shareholders and/or corporations owning more than 25% stock and listing of the percentage of stock owned

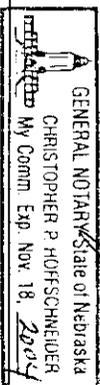
Please indicate below your corporate tax year with the IRS

Starting Date: January 1 Ending Date: December 31

STATE OF NE

Lincoln County )  
 )  
 ) ss. )  
 )

*Christopher P. Hoffschneider*  
Notary Public Signature & Seal



In Compliance with ADA, this form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.

By *[Signature]*  
PRESIDENT/MEMBER  
NEBRASKA LIQUOR CONTROL COMMISSION

*[Signature]*  
SECRETARY/MEMBER

**RECEIVED**  
JAN 30 2004

**\*Must Be A Nebraska Resident\***

**Please submit in Triplicate**

Return to: Nebraska Liquor Control Commission, PO Box 95046  
301 Centennial Mall So., Lincoln NE 68509  
Phone: (402) 471-2571 Fax: (402) 471-2814 Web address: <http://www.nol.org/home/NLCC/>

**RECEIVED**  
JAN 29 2004

**LIQUOR LICENSE INFORMATION**

NAME OF LICENSED CORPORATION Peking Palace Lincoln Inc.		CLASS & LICENSE NUMBER FEB - 6 2004 J	
TRADE NAME OF LICENSED PREMISE Peking Palace		NEBRASKA LIQUOR CONTROL COMMISSION	
STREET ADDRESS OF LICENSED PREMISE 8341 O Street	CITY Lincoln	COUNTY Lancaster	ZIP CODE 68501

In behalf of the corporation, I designate this individual as corporate manager.

Signature of Corporate President/CEO:

**APPLICANT INFORMATION (MUST BE 21 OR OVER)**

NAME (LAST, FIRST, MIDDLE, MAIDEN) Trieu, Nghi	SEX <input checked="" type="radio"/> F <input type="radio"/> M	SOCIAL SECURITY NUMBER	DATE OF BIRTH	PLACE OF BIRTH Saigon, Vietnam
HOME STREET ADDRESS 3204 W. 18th Street	CITY Grand Island	COUNTY Hall	STATE NE	ZIP CODE 68803
HOME TELEPHONE NUMBER (8) 381-2324	BUSINESS TELEPHONE NUMBER ( ) NA	DRIVERS LICENSE NUMBER & STATE		

**SPOUSE'S INFORMATION (IF NOT MARRIED INDICATE)**

ALL NAME (LAST, FIRST, MIDDLE, MAIDEN) Zhou, Ming	SOCIAL SECURITY NUMBER	DRIVERS LICENSE NUMBER & STATE
DATE OF BIRTH:	PLACE OF BIRTH Quangchou, China	

**READ CAREFULLY.** Answer completely and accurately.  
Has anyone who is a party to this application, or their spouse, ever been convicted of or plead guilty to any criminal charge. Criminal charge means any charge alleging a felony or misdemeanor violation of a federal or state law; or a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.  
Yes  No

Have you or your spouse ever made application for any liquor license or manager for any liquor license? IF YES, for what premise license number and date.  
YES  NO

Peking Palace  
3421 W. State St.  
Grand Island, NE 68803  
#28125

3. Have you or your spouse ever made a compromise settlement for violation of such laws?

YES  NO

4. Do you, as a manager, have all the qualifications required by any person entitled to hold a Nebraska Liquor License? Nebraska Liquor Control Act (§53-131.01)

YES  NO

5. Have you filed fingerprint cards and PROPER FEES (if check, make out to the NE State Patrol), with this application?

YES  NO

**RESIDENCES FOR THE PAST 10 YEARS. APPLICANT AND SPOUSE MUST COMPLETE**

APPLICANT: CITY & STATE	YEAR FROM	YEAR TO	SPOUSE: CITY & STATE	YEAR FROM	YEAR TO
San Diego, CA	1993	1994	Same		
Grand Island, NE	1994	Present	Same		

**EMPLOYERS - LIST LAST TWO EMPLOYERS**

YEAR FROM	YEAR TO	NAME OF EMPLOYER	NAME OF SUPERVISOR	TELEPHONE NUMBER
1994	Present	Self-employed-Peking Palace	N/A	308-384-9266
1993	1994	Imperial Mandarin Restaurant	Brian Fong	858-292-1222

**PERSONAL OATH AND CONSENT OF INVESTIGATION - MUST BE SIGNED BY APPLICANT & SPOUSE**

STATE OF NEBRASKA )  
 ) SS  
COUNTY OF )

The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and or spouse of applicant who makes the above and foregoing application, that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec. §53-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission. If spouse has NO interest directly or indirectly, an affidavit of non participation may be attached.

The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete and inaccurate.

*[Signature]*  
Signature of Applicant

*[Signature]*  
Signature of Spouse (if applicable)

Subscribed in my presence and sworn to before me this 29 day of January

Subscribed in my presence and sworn to before me this 29 day of January

*[Signature]*  
Notary Signature & Seal

*[Signature]*  
Notary Signature & Seal

